IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Serial No.

10/692,165

Applicants:

Alan Wu et al

Group Art Unit:

3753

Filing Date:

October 23, 2003

Title:

LATERAL PLATE SURFACE COOLED HEAT EXCHANGER

Attorney Docket No.:

60680-741

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each non- United States reference listed thereon. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an International Search Report and Written Opinion obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

All of the references listed on the attached Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

EXECUTED at Toronto, Ontario, Canada, this 31 day of Huyw

Respectfully submitted,

David J. Greer

Registration No. 43,395

Copies of non US references and Form PTO-1449 **RIDOUT & MAYBE LLP** Suite 2400 - One Queen Street East TORONTO, Ontario M5C 3B1 Canada (416) 868-1482

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CERTIFICATE OF Applicant(s): WU ET	Docket No. 60,680-741							
Application No. 10/692,165	Filing Date 10/23/2003	Customer No. 26127	Group Art Unit 3753					
Invention: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER								
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PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Complete if Known Substitute for form 1449A/PTO 10/692,165 **Application Number** INFORMATION DISCLOSURE 10/23/2003 Filing Date WU ET AL. STATEMENT BY APPLICANT First Named Inventor Art Unit 3753 (use as many sheets as necessary) **Examiner Name UNASSIGNED** Attorney Docket Number 60.680-741 Sheet of

7			U.S. PAT	TENT DOCUMENTS		
Examiner Cite		Document Number Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US- 6,109,217	08/29/2000	HEDLUND ET AL.		
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document				Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Office ³	Number ⁴	Kind ^t (if knov		MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T6
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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